

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our File No. YUN-13402/03

Applicant: Yuen

Serial No.: 09/607,606

Group No.:

Filed: June 30, 2000

Examiner:

Title: INTERNET-BASED AUCTION METHOD

REQUEST FOR REFUND

RECEIVED

Assistant Commissioner for Patents
Washington, D.C. 20231

NOV 29 2000

Group 2100

Dear Sir:

1. Submission of Verified Statement

A true copy of Applicant's Verified Statement claiming small entity is submitted herewith.

2. Refund Request

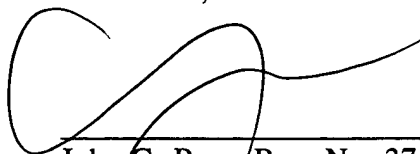
This request for refund is made within two months after Applicant's representative received its monthly statement of deposit account (dated 7/31/00) in which the amount of \$690 was charged in error. On the Application Transmittal Letter that accompanied the application, Applicant's representative gave authorization to charge the filing fee in the amount of \$345.

3. Manner of Refund

Please make refund by crediting Deposit Account No. 07-1180 the amount of \$345.

Respectfully submitted,

GIFFORD, KRASS et al



John G. Posa, Reg. No. 37,424
280 N. Old Woodward Ave., Suite 400
Birmingham, Michigan 48009
(734) 913-9300
FAX (734) 913-6007

Date: Oct. 12, 2000

11/17/2000 NVILLARI 00000005 071180 09607606

01 FC:201 345.00 CH

Adjustment date: 11/17/2000 NVILLARI
07/11/2000 MPEOPLES 00000021 071180 09607606
01 FC:101 690.00 CR

SMALL ENTITY

ATTORNEY DOCKET NO.: YUN-13402/03

**COMBINED DECLARATION, POWER OF ATTORNEY
AND VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**

As the below named inventor, I hereby declare my residence, post office address and citizenship are as stated below next to my name; and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INTERNET-BASED AUCTION METHOD

COPY

the specification of which

- ☐ is attached hereto.
☒ was filed on June 30, 2000 as Serial No. 09/607,606.
☐ was described and claimed in PCT International Application No. _____
_____ filed on _____ and as amended under PCT
Article 19 on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge to the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

			Priority Claimed	
			Yes	No
_____ (Number)	_____ (Country)	_____ (Day/month/year filed)	<input type="checkbox"/>	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/month/year filed)	<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(App. Serial No.) (Filing date) (Status)
(patented, pending, abandoned)

(App. Serial No.) (Filing date) (Status)
(patented, pending, abandoned)

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER

FILING DATE

60/142,128

July 2, 1999

And I hereby appoint Ernest I. Gifford, Reg. No. 20,644; Allen M. Krass, Reg. No. 18,277; Irvin I. Groh, Reg. No. 17,505; Douglas W. Sprinkle, Reg. No. 27,394; Thomas E. Anderson, Reg. No. 31,318; Ronald W. Citkowski, Reg. No. 34,732; Judith M. Riley, Reg. No. 30,311; Douglas J. McEvoy, Reg. No. 34,385; Ellen S. Cogen, Reg. No. 38,109; Roberta J. Morris, Reg. No. 33,196; John G. Posa, Reg. No. 37,424; Douglas L. Wathen, Reg. No. 41,369; Avery N. Goldstein, Reg. No. 39,204; David R. Kurlandsky, Reg. No. 41,505; and Mark D. Schneider, Reg. No. 43,906 as my attorneys, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith. Send all correspondence to:

John G. Posa, Esq.
GIFFORD, KRASS, GROH, SPRINKLE,
ANDERSON & CITKOWSKI, P.C.,
280 N. Old Woodward Ave., Suite 400
Birmingham, Michigan 48009
Telephone (734) 913-9300

I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention described in the specification. I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e). Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such persons, concern, or organization
☐ persons, concerns or organizations listed below

FULL NAME _____

ADDRESS _____

☐ Individual

☐ Small Business Concern

☐ Non-Profit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon.

Full name of sole inventor HENRY C. YUEN

Inventor's signature  _____

Date September 26, 2000 Country of Citizenship US

Residence Pasadena, California

Post Office Address 135 N. Los Robles Ave., #870, Pasadena, California 91101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuen

Serial No.: 09/607,606

Group No.:

Filed: June 30, 2000

Examiner:

Title: INTERNET-BASED AUCTION METHOD

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

NOV 29 2000

Group 2100

Dear Sir:

I hereby certify that the attached correspondence
comprising:

Request for Refund

True copy of Combined Declaration/Power of Attorney/

Verified Statement

Postcard

OFFICE OF FINANCE
REFUND SECTION

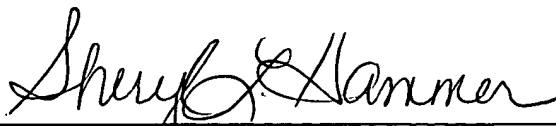
27 OCT 17 AM 5:16

US PAT & TRADEMARK
OFFICE

is being deposited with the United States Postal Service as first
class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Refund Section, Accounting Division,
Office of Finance

on October 13, 2000.



Sheryl L. Hammer

ATTENTION ATTENTION ATTENTION

Date Processed: 10-23-00

OIPE

047

☐ **ACH Payment**

Attached Is The Most Current ACH Information

☐ **Treasury Check**

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund;

A Check Will Be Issued.

☒ **Credit To Deposit Account**

09/607606 tcc

This Request for Refund Will Be Processed By Crediting Deposit

Account Number 07-1180, If Customer Is Due A Refund.

ATTENTION ATTENTION ATTENTION